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DOCKET NO.: MS160341.01 / MSFTP392US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: **Susan T. Dumais, et al.**
Serial No.: **10/607,228**
Filed: **June 26, 2003**
For: **SYSTEMS AND METHODS FOR PERSONAL UBIQUITOUS INFORMATION RETRIEVAL AND REUSE**
Art Unit: **2171**
Examiner: **Unknown**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. §1.97 and §1.98, and in compliance with 37 C.F.R. §1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications; (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. §120; and/or (c) U.S. Patent(s) and published applications(s).

Serial No.: _____
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Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) ☐ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. §1.97(b) this Statement is being filed (one must be checked):

- (a) ☐ Within 3 months of the filing date or date of entry into the National Stage.
- (b) ☒ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.
- (c) ☐ Before the mailing date of an Office Action after the filing of a request for continued examination under 1.114.

- (d) ☐ After the period set forth in 37 C.F.R. §1.97(b) but before the mailing date of either a final action or a notice of allowance.
- (1) ☐ The required certification is given below, or
- (2) ☐ Regarding the fee set forth in 37 C.F.R. §1.17(p) for consideration of this Statement :
- (i) ☐ Enclosed is a check covering the fee;
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- (iii) ☐ Enclosed is a credit card payment form covering the fee.
- (e) ☐ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below. Regarding the fee set forth in 37 C.F.R. §1.17(i)(1) for consideration of this Statement:
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4. Certification (if applicable)

- (a) ☐ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- (b) ☐ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1063.

Respectfully Submitted,

By



Himanshu S. Amin
Reg. No. 40,894

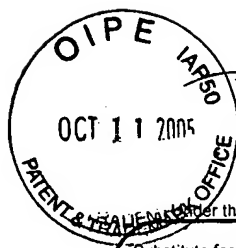
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CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450.

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Himanshu S. Amin



PTO/SB/08B (08-03)

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**SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/607,228
Filing Date	June 26, 2003
First Named Inventor	Susan Dumais
Art Unit	2171
Examiner Name	Unknown
Attorney Docket Number	MS160341.1/MSFTP392US

Sheet	1	of	3
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		E. ADAR, et al. Haystack: Per-user Information Environments. In Proc. of CIKM '99, pp.413-422, 1999.	
		J. ANDERSON, et al. Reflections of the Environment in Memory. Psychological Science, Vol. 10, pp.396-408, 1991.	
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		A. BRODER, et al. Graph Structure in the Web. In Proc. of the 9th International WWW Conference, pp. 309-320, 2000.	
		Q. BURRELL. A Simple Stochastic Model for Library Loans. Journal of Documentation, Vol. 36 No. 2, pp. 115-132, 1980.	
		V. BUSH. As We May Think. Atlantic Monthly, Vol. 176, pp. 101-108, 1945.	
		V. BUSH. Science is Not Enough. Chapter: Memex Revisited, pp. 75-101. New York: William Morrow.	
		L. CATLEDGE and J. PITKOW. Characterizing Browsing Strategies in the World Wide Web. In Proc. of the 3rd International WWW Conference, 1995. 10 pages.	
		A. COCKBURN and S. GREENBERG. Issues of Page Representation and Organization in Web Browsers Revisitation Tools. In Proc. of OzCHI '99, pp. 7-14, 1999.	

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/607,228	
			Filing Date	June 26, 2003	
			First Named Inventor	Susan Dumais	
			Art Unit	2171	
			Examiner Name	Unknown	
Sheet	2	of	3	Attorney Docket Number	MS160341.1/MSFTP392US

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		P. DOURISH, et al. Presto: An Experimental Architecture for Fluid Interactive Document Spaces. ACM Transactions on Computer-Human Interaction, Vol. 6 No. 2, pp. 133-161, 1999.	
		T. ERICKSON. The Design and Long-term use of a Personal Electronic Notebook: A Reflective Analysis. Proc. of the ACM CHI 96 Conference on Human Factors in Computing Systems, pp. 11-18, 1996.	
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		W. JONES, et al. Once Found What Next? A Study of "Keeping" Behaviors in the Personal Use of Web Information. ASIST 2002. 12 pages.	
		S. JONES and P. THOMAS. Empirical Assessment of Individuals' Personal Information Management Systems. Behaviour and Information Technology, Vol. 16 No. 3, pp. 158-160, 1997.	
		S. KAASTEN and S. GREENBERG. Integrating Back, History, and Bookmarks in Web Browsers. In Proc. of ACM Conference on Human Factors in Computing Systems, pp. 379-380, 2001.	
		S. KAASTEN, et al. How People Recognize Previously Seen WWW Pages from Titles, URLs, and Thumbnails. In Proc. of Human Computer Interaction 2002, pp. 247-265.	

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	Art Unit	2171
	Examiner Name	Unknown
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		T. MALONE. How do People Organize their Desks? Implications for the Design of Office Information Systems. ACM Transactions on Office Information Systems, Vol. 1 No. 1, pp. 99-112, 1983.	
		H MARAIS and K. BHARAT. Supporting Cooperative and Personal Surfing with a Desktop Assistant. Proceedings of UIST 1997, pp. 129-138.	
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		J. PITKOW and P. PIROLI. Life, Death, and Lawfulness on the Electronic Frontier. In Proc. of ACM CHI 97 Conference on Human Factors in Computing Systems, pp. 383-390, 1997.	
		M. RECKER and J. PITKOW. Predicting Document Access in Large, Multimedia Repositories. Georgia Tech, Tech Report, August 23, 1994. 24 pages.	
		C. SILVERSTEIN, et al. Analysis of a Very Large Alta Vista Query Log. SRC Technical Note 1998-014, October 26, 1998. 18 pages.	
		A. SPINK, et al. Searching the Web: The Public and their Queries. Journal of the American Society for Information Science, Vol. 53 No. 2, pp. 226-234, 2001.	
		L. TAUSCHER and S. GREENBERG. How People Revisit Web Pages: Empirical Findings and Implications for the Design of History Systems. International Journal of Human Computer Studies, Special Issue on WWW Usability. Vol. 47 No. 1. pp. 97-138.	
		S. WHITAKER and C. SIDNER. Email Overload: Exploring Personal Information Management of Email. Proceedings of ACM CHI 96 Conference on Human Factors in Computing Systems, pp. 276-283, 1996.	

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